

**State of Rhode Island and Providence Plantations
DEPARTMENT OF BUSINESS REGULATION
1511 Pontiac Avenue, Bldg. 68-2
Cranston, Rhode Island 02920**

BOARD OF ACCOUNTANCY

MINUTES OF MEETING

July 15, 2014

The meeting of the Rhode Island Board of Accountancy was called to order by Mary F. Bernard, Chair, at 1511 Pontiac Avenue, Cranston, Rhode Island, at 1:38 p.m. In attendance were Board members Mary F. Bernard, David Platt, George O. Tashjian, Dawne Broadfield, Administrative Support Specialist and Robert A. Mancini, Executive Director, Rhode Island Society of Certified Public Accountants (RISCPA). Kevin M Fountain and Kevin P. Tracy were not present.

1. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was unanimously VOTED that the minutes of the meeting of June 17, 2014 be accepted as submitted and placed on file, copies thereof having been distributed to each member of the Board prior to this meeting.

2. It was noted that NASBA sent the following information as listed on the agenda, which was sent to all members prior to the meeting:

a. NASBA – US Accountancy Licensee Database (ALD) Program

Implementation – Rhode Island has a project start date of May 5, 2014 between Iron Data and NASBA and is underway. The project end date is August 4, 2014. This project is moving forward.

b. NASBA – CPAVerify Program Implementation - Rhode Island has a project start date of May 5, 2014 between Iron Data and NASBA and is underway. The project end date is August 4, 2014. This project is moving forward.

3. American Institute of Certified Public Accountants (AICPA) matters:

a. Comments from Robert Mancini, Executive Director, Rhode Island Society of Certified Public Accountants (RISCPA) – (1) Mr. Mancini reported that he has been appointed to the NASBA Uniform Accountancy Act (UAA) Committee. (2) Mr. Mancini reported as to the goal of the Board having an Intern for quality assistance to the Board and also education related to the Intern. Mr. Mancini spoke to Adriana Dawson, Roger Williams University (RWU) and suggested the collaboration. Mr. Mancini will reach out to the Department of Business Regulation (DBR) Director to discuss this opportunity.

4. Public Company Accounting Oversight Board (PCAOB)

a. Review of Notifications Received – Notification was received for PricewaterhouseCoopers LLP, RI Practice Unit #P12. The Board reviewed and duly noted.

5. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was unanimously VOTED to approve the following initial application(s) for

**certification and to send the application for the permit to practice to:
Sean Greenfield (1050078) pending confirmation that Burlington
County College is accredited.
Stephanie Mederios (1050099)**

**6. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was
unanimously VOTED to approve the following reinstatement
application(s) with the required renewal and reinstatement fees
applied for certification and to issue a permit to practice for the
period ending as indicated below to:**

**JoaQuim J. Galvao (2545) – pending for completion of continuing
professional education (CPEs)**

07/15/14, Page 1 of 2

**Rhode Island Board of Accountancy Minutes of Meeting/July 15,
2014**

**Ellen P. O'Neil (1944) – pending completion of the renewal application
and the \$375 renewal fee in addition to the reinstatement application
and \$500 already submitted**

Stephen H. Penza (2174)

7. Old Business:

**a. Sylvia Fidalgo (3347) – Board denied Continuing Professional
Education (CPE) maternity exemption request. Requesting
exemption for a second time. The Board will respond to Ms. Fidalgo
that the Board granted her an extension of time to complete the CPEs**

and not an exemption from the CPE requirement. The Board submitted a “Request for Legal Services (RLS) for clarification as to whether the exception of the required CPEs relates to the time to get the CPEs or exception from obtaining the CPEs at all. This exception was granted and known in the past. The Board granted an extension of time to Ms. Fidalgo until December 31, 2014 in order to obtain the required CPEs. The Board is waiting for a decision from DBR Legal Counsel.

b. Development of Board’s Newsletter – This matter was tabled since Mr. Tracy is not at this meeting.

8. New Business:

a. Patricia N. Testa (3289) – Requesting \$100 Late Fee Waiver for CPA Renewal Application received on June 30, 2014. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was unanimously VOTED to send a letter denying the request.

b. Continuing Professional Education (CPE) Hours – Based on discussion that occurred, the Board will research the CPEs for other states as to the amount of hours and the limitation of “soft skills.”

c. Election of Officers will be added to the Board’s next agenda.

9. The next meeting of the Board that was scheduled for Tuesday, August 19, 2014, at 1:30 p.m. was canceled. The next meeting is scheduled for Tuesday, September 16, 2014 at 1:30 p.m.

10. Upon motion by Mr. Platt and seconded by Mr. Tashjian, it was

unanimously VOTED to adjourn the regular meeting of the Board at 2:00 p.m. and conduct an Executive Session under Sections 42-46-4 and 42-46-5 (a) and (a) (4), R.I.G.L. for the purpose of discussing pending disciplinary investigations.

Respectfully submitted,

Mary F. Bernard, CPA

Chair

07/15/14, Page 2 of 2